

Atty Docket No.
6406-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Pranil Ram et al.

Serial No. : to be assigned

Filed : filed concurrently herewith

For : INTERACTIVE GRID-BASED GRAPHICAL TRADING SYSTEM FOR
REAL TIME SECURITY TRADING

PRELIMINARY AMENDMENT

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination on the merits, please amend this application as follows:

IN THE SPECIFICATION:

Please insert after the title and before the section heading "FIELD OF THE
INVENTION" as follows:

-- This application is a continuation-in-part application of U.S. Application Serial
No. (to be assigned, attorney docket no. 6406-1), filed June 28, 2001, which is hereby
incorporated in its entirety by reference.--

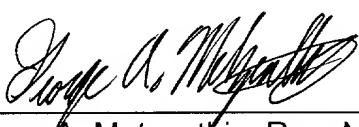
REMARKS

This Preliminary Amendment is being filed concurrently with the application. The
Preliminary Amendment amends the application to include a claim of priority from an
application (attorney docket no. 6406-1) filed on or about June 28, 2001. That
application lists as inventors: Pranil Ram and Crispin M. Almodovar, which are the

inventors for the instant application. Counsel does not know the serial number for this application at the time of the filing of the instant application. Once that information is known, the application will be amended to include the prior application serial number.

Examination on the merits is awaited.

Respectfully submitted,
CAHN & SAMUELS, L.L.P.

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July 3, 2001